

PTO/SB/21 (09-04)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/751684-Conf. #9139		
	<b>Filing Date</b>	December 29, 2000	
	<b>First Named Inventor</b>	Steven M. Blumenau	
	<b>Art Unit</b>	2187	
	<b>Examiner Name</b>	M. K. N. McLean	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	E0295.70139US00

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment	<input checked="" type="checkbox"/> Petition For Revival of An Application For Patent Abandoned Unintentionally	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Request for Continued Examination (RCE)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Remarks Establishing Unintentional Delay
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	Return Receipt Postcard
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

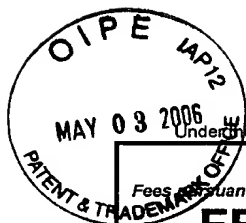
<b>Firm Name</b>	WOLF, GREENFIELD & SACKS, P.C.		
<b>Signature</b>			
<b>Printed name</b>	Richard F. Giunta		
<b>Date</b>	April 28, 2006	<b>Reg. No.</b>	36,149

## Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: April 28, 2006

Signature: (Eileen MacKenzie)



<b>Effective on 12/08/2004.</b> Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		<b>Complete if Known</b>	
<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		Application Number	09/751684-Conf. #9139
		Filing Date	December 29, 2000
		First Named Inventor	Steven M. Blumenau, et al.
		Examiner Name	M. K. N. McLean
		Art Unit	2187
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	E0295.70139US00
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>(\$)</b>	<b>2,290.00</b>

<b>METHOD OF PAYMENT</b> (check all that apply)	
<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit Card
<input type="checkbox"/> Money Order	<input type="checkbox"/> None
<input type="checkbox"/> Other (please identify): _____	
<input type="checkbox"/> Deposit Account    Deposit Account Number: <u>23/2825</u> Deposit Account Name: <u>Wolf, Greenfield &amp; Sacks, P.C.</u>	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

<b>FEE CALCULATION</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
<b>Application Type</b>	<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>		<b>Fees Paid (\$)</b>
	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
<b>2. EXCESS CLAIM FEES</b>							
<b>Fee Description</b>						<b>Fee (\$)</b>	<b>Small Entity Fee (\$)</b>
Each claim over 20 (including Reissues)						50	25
Each independent claim over 3 (including Reissues)						200	100
Multiple dependent claims						360	180
<b>Total Claims</b>		<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	<b>Multiple Dependent Claims</b>		
_____ - 20 = _____		x _____	= _____		<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	
<b>Indep. Claims</b>		<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>			
_____ - 3 = _____		x _____	= _____				
<b>3. APPLICATION SIZE FEE</b>							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>			<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	
_____ - 100 = _____	/50	_____ (round up to a whole number) x _____			= _____		
<b>4. OTHER FEE(S)</b>							<b>Fees Paid (\$)</b>
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): 1801 Request for continued examination (RCE) (see 37 ...							790.00
1453 Petition to revive unintentionally abandoned ...							1,500.00

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	36,149
Name (Print/Type)	Richard F. Giunta	Telephone	(617) 646-8322
		Date	April ____, 2006

<b>Certificate of Mailing Under 37 CFR 1.8(a)</b>	
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Dated: April 28, 2006	Signature:  (Eileen MacKenzie)



DOCKET NO.: E0295.70139US00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Steven M. Blumenau et al.  
Serial No.: 09/751,684  
Confirmation No.: 9139  
Filed: December 29, 2000  
For: METHOD AND APPARATUS FOR MANAGING ACCESS  
TO STORAGE DEVICES IN A STORAGE SYSTEM WITH  
ACCESS CONTROL

Examiner: McLean Mayo, Kimberly N.  
Art Unit: 2187

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP PETITION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 28 day of April, 2006.

  
Eileen MacKenzie

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**MAIL STOP PETITION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REMARKS ESTABLISHING UNINTENTIONAL DELAY**

Sir:

The above identified application was unintentionally abandoned following the expiration of the six month period following the Final Office Action mailed October 4, 2005. Of the sixty-six claims pending, the Final Office Action rejected claims 1-13, 15-21, 23-41, 43-57, 60-66, but indicated that dependent claims 14, 22, 42, 58 and 59 would be allowable if rewritten in independent form including all limitations of their respective base claim and any intervening claims.

In response to the Final Office Action, Applicant filed a Request For Reconsideration including arguments requesting withdrawal of the finality of the rejection. The Amendment was filed on December 5, 2005, within the two-month period following the mailing of the Final Office Action. Applicant received an Advisory Action, mailed January 4, 2006, indicating that

the arguments made in the Request for Reconsideration were not persuasive. The Advisory Action maintained the position of the Final Office Action that dependent claims 14, 22, 42, 58, and 59 would be allowable if rewritten in independent form including all limitations of their respective base claim and any intervening claims.

In response, Applicant filed an Amendment on February 6, 2006 to take the subject matter indicated as allowable. In the Amendment, Applicant indicated that because the only claims left in the application were those indicated as allowable, all outstanding issues were believed to be resolved. Thus, Applicant expected a Notice of Allowance to be issued. While awaiting the Notice of Allowance, the six month period lapsed.

Shortly thereafter, Applicant received an Advisory Action mailed April 5, 2006, one day after the expiration of the six-month period. In the Advisory Action, the Examiner indicated that she had reconsidered her position because she felt that there was an inconsistency in claim 14. Upon receipt of the Advisory Action, Applicant realized that the application had unintentionally gone abandoned and telephoned the Examiner to inquire as to why an Advisory Action was mailed after the six-month date. The Examiner indicated that the Advisory Action was mailed in error.

Applicant encloses herein a Petition for Revival of an Application for Patent Abandoned Unintentionally. Applicant also submits herewith an RCE and an Amendment, pursuant to 37 C.F.R. §1.137(b)(1) that is believed to put the application in allowable condition, in view of the Advisory Action mailed April 6, 2006.

Respectfully submitted,  
*Steven M. Blumenau et al., Applicant(s)*

By:  

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Docket No.: E0295.70139US00  
Date: April 28, 2006